

## **Meeting of the Audit, Risk and Governance Committee (17 October 2022)**

**Chair:** County Councillor Alan Schofield

### **Part I (Open to Press and Public)**

#### **External Audit: Lancashire County Council Audit Findings Report 2021/22**

The committee considered the Audit Findings Report for Lancashire County Council presented by Sarah Ironmonger, Partner at Grant Thornton UK.

**Resolved:** That the following be noted:

- i) The Lancashire County Council Audit Findings Report 2021/22; and
- ii) That an updated Audit Findings Report would be presented to the next Audit, Risk and Governance Committee meeting on January 2023.

#### **External Audit: Lancashire County Pension Fund Audit Findings Report 2021/22**

The committee considered the Audit Findings Report for Lancashire County Pension Fund presented by Stuart Basnett, Audit Manager at Grant Thornton UK.

**Resolved:** That the following be noted:

- i) The Lancashire County Pension Fund Audit Findings Report 2021/22; and
- ii) That an updated Audit Findings Report would be presented to the next Audit, Risk and Governance Committee meeting on 20 January 2023.

#### **Internal Audit Progress Report**

The committee considered a report presented by Andy Dalecki, Head of Internal Audit, which provided an update on the Internal Audit Service's work and outcomes for 2021/22, for the period to 26 September 2022.

**Resolved:** That the Internal Audit Progress Report be noted.

## **Anti-Fraud and Anti-Corruption Policy Documents**

The committee considered a report presented by Andy Dalecki, Head of Internal Audit, which set out an updated suite of anti-fraud and anti-corruption policies for the committee's approval.

**Resolved:** That

- i) The updated Anti-Fraud, Bribery and Corruption Policy, as presented, be approved;
- ii) The new Fraud Sanction and Prosecution Policy, as presented, be approved;
- iii) The updated Whistleblowing Policy, as presented, be approved; and
- iv) The updated Anti-Money Laundering Policy Statement and Strategy, as presented, be approved.

## **Corporate Risk and Opportunity Register – Quarter 2 Update**

The committee considered the updated Corporate Risk and Opportunity Register for 2022/23, presented by Paul Bond, Head of Legal, Governance and Registrars.

**Resolved:** That

- i) The updated Corporate Risk and Opportunity Register be noted; and
- ii) All risks with a rating of 12 or above, once the final score has been agreed between individual directorates and the Executive Management Team, be included in future Corporate Risk and Opportunity Register update reports to the committee.

## **Part II (Not Open to Press and Public)**

### **Appendix 'B' to Item 8 – Corporate Risk and Opportunity Register – Quarter 2 Update**

The committee considered the private and confidential Appendix B to Item 8 – Corporate Risk and Opportunity Register – Quarter 2 Update.

**Resolved:** That Appendix B to Item 8 – Corporate Risk and Opportunity Register – Quarter 2 Update, be noted.

### **Update on the Overpayment of Salaries**

The committee considered a private and confidential report presented by Neil Kissock, Director of Finance, which provided an update on the overpayment salaries.



**Resolved:** That the report be noted.

### **RIPA Policy – Annual Review**

The committee considered a private and confidential report presented by Chris Wilkinson, Trading Standards Manager, which provided an update on the council's use of the Regulation of Investigatory Powers Act 2000 (RIPA) and the council's RIPA policies for the committee's approval.

**Resolved:** That

- i) The Corporate Policy and Guidance on the Regulation of Investigatory Powers Act 2022, as presented, be approved;
- ii) The Shadow RIPA Surveillance Policy, as presented, be approved; and
- iii) The Covert Social Networking Checks and Surveillance Policy, as presented, be approved.

